

## Annexure –A

## Format for reporting changes in "status or constitution" of Depository Participants

Name of the Depository:-

Report for the quarter ending:- June/September/December/March Year:-Date of report:-

Sr.No	Date of receipt	Name of the Depository Participant	SEBI Regn. number	Type of change	ails of anges Post	PAN (incoming entities, if any)	Date of Change

Туре	Description of Change					
Ι	Amalgamation, demerger, consolidation or any other kind of corporate restructuring falling within the scope of section 391 of the Companies Act, 1956 (1 of 1956) or the corresponding provision of any other law for the time being in force.					
II	Change in director, including managing director/ whole-time director					
	Change in shareholding not resulting in change in control					
IV	Any other purpose as may be considered appropriate by the Depositories					



## Guidelines to fill up the format and sending the same to SEBI

- 1) A separate annexure shall be submitted for each "Type of change" as specified in the format.
- 2) The report shall be signed by an authorized representative of the Depository and the same shall be stamped.
- 3) The Depositories shall furnish the report to SEBI by 7<sup>th</sup> day of month following the end of each quarter, starting with report for the quarter ending June 2011. Thus the first report shall be submitted to SEBI on or before July 07, 2011.
- 4) The report shall be submitted by e-mail at <u>dp@sebi.gov.in</u>. A hard copy of the report shall also be submitted to SEBI.