

Annex I

[Annex to A. P. (DIR Series) Circular No. 20 dated Oct 8, 2015]

**Application cum Declaration for booking of forward contracts / options up to USD
1,000,000 by Resident Individuals, Firms and Companies**

(To be completed by the applicant)

I. Details of the applicant

- a. Name
- b. Address.....
- c. Account No.....
- d. PAN No.....

II. Details of the foreign exchange forward / FCY-INR options contracts required

- 1. Amount (Specify currency pair)
- 2. Tenor

III. Notional value of forward / FCY-INR contracts outstanding as on date

IV. Details of actual / anticipated remittances

- 1. Amount:
- 2. Remittance Schedule:
- 3. Purpose:

Declaration

I,(Name of the applicant), hereby declare that the total amount of foreign exchange forward / FCY-INR options contracts booked with the -----(designated branch) of -----(bank) in India is within the limit of USD 1,000,000/- (US Dollar One Million only) and certify that the above derivative contracts are meant for undertaking permitted current and / or capital account transactions. I also certify that I have not booked foreign exchange forward / FCY-INR options contracts with any other bank / branch. I have understood the risks inherent in booking of foreign exchange forward contracts / FCY-INR options contracts.

Signature of the applicant

(Name)

Place:

Date:

Certificate by the Authorised Dealer Category – I bank

This is to certify that the customer(Name of the applicant) having PAN No. has been maintaining an account(no.) with us since We certify that the customer meets the AML / KYC guidelines laid down by RBI and confirm having carried out requisite suitability and appropriateness test.*

Name and designation of the authorised official:

Place:

Signature:

Date: Stamp and seal

** month / year*

Annex II

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Statement – Details of Forward contracts / options booked and cancelled

For the Quarter ended –

Category	Forward Contracts / FCY-INR Options Contracts Booked		Forward Contracts / FCY-INR Options Contracts Cancelled	
	During the Quarter	Cumulative total-Year to Date	During the Quarter	Cumulative total-Year to Date
SMEs				
Individuals				
Firms / Companies				

Name of the AD Category-I bank:

Signature of the Authorised Officials:

Date:

Stamp: